Es conocido que se produce una transformación de estructura pirocloro a perovskita durante el calentamiento rápido de láminas delgadas ferroeléctricas de titanato de plomo modificadas con La, preparadas por sol-gel. La deficiencia de Pb causada por la volatilización de PbO en los primeros momentos del tratamiento térmico no inhibe la transformación. Se ha estudiado, por microscopía electrónica de transmisión, la evolución microestructural asociada a esta transformación, que ocurre en un medio que en promedio es deficiente en Pb. Con este fin, se prepararon muestras para microscopía, tanto del plano de la lámina como secciones transversales, a partir de dos láminas en las que la transformación se encontraba en estadíos diferentes. Los experimentos pusieron de manifiesto que la fase con estructura pirocloro se encontraba homogéneamente distribuida a lo largo del espesor de la lámina, y que su morfología era muy similar a la de la fase ferroeléctrica. La combinación de las técnicas de microdifracción y microanálisis permitió identificar granos individuales que no tenían la estructura perovskita, y establecer que presentaban una deficiencia de Pb mayor que el promedio de la lámina. La microscopía electrónica de transmisión también puso de manifiesto fenómenos de crecimiento de poro, de grano, y del grado de texturización local durante la transformación.

Palabras claves: Ferroeléctricos, láminas delgadas, mecanismos de cristalización, microscopía electrónica de transmisión.

Microstructural evolution during the pyrochlore to perovskite transformation in (Pb,La)TiO$_3$ thin films

A pyrochlore to perovskite transformation is known to occur during the rapid heating of sol-gel prepared La modified lead titanate ferroelectric thin films. This transformation is not inhibited by the Pb deficiency caused by PbO volatilisation at the initial stages of the thermal treatment. The microstructural evolution associated with this transformation occurring in the Pb deficient film has been studied by transmission electron microscopy. Plan view and cross section specimens were prepared from two films at different stages of the transformation. Experiments showed that the pyrochlore phase was homogenously distributed across the film thickness, with a very similar morphology to that of the ferroelectric phase. The combination of microdiffraction and microanalysis allowed identifying single grains that had not the perovskite structure, and were more Pb deficient than the film. Pore growth, grain growth, and growth of local texturing were observed along with the transformation.

Keywords: Ferroelectrics, thin films, crystallisation mechanism, Transmission electron microscopy.

1. INTRODUCTION

The mechanism of the perovskite structure formation during the thermal annealing of sol-gel amorphous layers is known to comprise the formation of an intermediate phase (1-3). This phase has been identified either as a pyrochlore type structure or as a fluorite structure. The use of rapid thermal annealing with heating rates of several tens of °C s$^{-1}$ does not modify the mechanism, though it accelerates the transformation (4,5). For lead zirconate titanate (PZT) films, a Pb deficiency, defined as a Pb/(Ti+Zr) atomic ratio smaller than the nominal one of the perovskite, as that caused by PbO volatilisation has been shown to inhibit the transformation, appearing then the intermediate phase as a second phase in coexistence with the perovskite. This causes the ferroelectric properties of the film to degrade as the second phase is not polar (6). This does not seem to be the case for lanthanum modified lead titanate (PTL) thin films. We showed in a previous investigation that the intermediate phase (a pyrochlore type structure) to perovskite transformation was able to continue after significant PbO volatilisation in Pb deficient, defined in this case just as the Pb/Ti ratio, films (7). Phases were studied by X-ray diffraction (XRD) and the Pb/Ti ratio was monitored by energy dispersive X-ray analysis (EDS) in a scanning electron microscope. Although the occurrence of the transformation and some of its features were well established, others could not be investigated with the techniques used. An important question that could not be answered was whether the perovskite formed was also Pb deficient or had the nominal composition. In the latter case, a highly Pb deficient third phase had to remain after the transformation. Neither could be investigated whether the perovskite nucleation process was heterogeneous, i.e. it started at the substrate/layer interface, or it was a homogeneous nucleation process. We present here a transmission electron microscopy (TEM) study on two PTL films at different stages of the phase transformation. The microstructural evolution associated with the transformation is described, and the implications for the questions just raised are discussed.
2. EXPERIMENTAL PROCEDURES

A precursor solution with a Pb$_{0.88}$La$_{0.08}$TiO$_3$ nominal composition was synthesised by a diol based sol-gel method described elsewhere (8). The solution was deposited onto Pt/TiO$_2$/Si(100) substrates by spin coating, and the resulting layers were dried on a hot plate at 350°C in air. Deposition and drying were repeated three times in order to obtain films with a final thickness around 300 nm. Film crystallisation was accomplished by direct insertion in a conventional furnace at 650°C. PbO volatilisation is known to occur at the initial stages of the treatment. The average Pb/Ti has been shown to decrease from the initial 0.88 to ~0.8 during the initial 12 minutes, and to maintain a constant value after that interval, even if the treatment is prolonged for 12 hours. The pyrochlore to perovskite transformation occurs during this period of time (6). Two films were thermally treated for 12 min and 12 h for this study. The presence of different amounts of pyrochlore phase, and so different stages of the transformation, was confirmed by grazing incidence X-ray diffraction (GIXRD) with a Siemens D-500 diffractometer with a Cu anode and equipped with a soller slit.

Plan view specimens for TEM were prepared from 3 mm discs cut from the films. These discs were mechanically polished from the Si side up to 100-200 µm, and then dimpled up to 20-30 µm. Ion erosion with 3.8 keV Ar was used to reach electron transparency (100 nm). A final ion polishing was accomplished from both sides to remove contamination. Cross section specimens were prepared by using a Gatan cross sectional TEM specimen preparation kit. The specimens were cooled with liquid nitrogen during the final stages of the ion erosion. Most of TEM work was accomplished at 200 kV with a JEOL 2000FX microscope, except the microdiffraction and microanalysis experiments, which were done at 300 kV with a Philips CM30 apparatus.

3. RESULTS AND DISCUSSION

The GIXRD pattern of the film thermally treated for 12 minutes is shown in Figure 1a. Peaks labelled with Pe correspond to the perovskite phase while those labelled with Py correspond to the pyrochlore type structure phase (same structure that Pb$_2$Ti$_2$O$_6$, JCPDF-ICDD file n° 26-142). Miller indexation and planar distances are given in the figure. The intensity relations between the Pe peaks indicate the absence of crystallographic texture. The small peak of TiO$_2$ originated in the substrate. Note the presence of a significant amount of pyrochlore phase. A TEM image of a plan view specimen prepared from this film is shown in Figure 1b. The microstructure is homogenous, with grains with 50-100 nm size and some intergranular porosity. A large selected area electron diffraction (LAED) pattern is shown in Figure 1c. The pattern is typical for a non-textured polycrystal. The diffraction rings correspond to the planar distances of the perovskite structure. Diffraction spots corresponding with the (222) planar distance of the pyrochlore structure are also present in the LAED as can be seen in the detail shown in Figure 1d. Therefore, intermediate phase grains are present in the specimen, though they are morphologically identical to the perovskite grains. A grain by grain search for non-perovskite planar distances was accomplished by microdiffraction (25-40 nm beam size, ~70 nm average grain size). The search was focused on the (111) of the pyrochlore, d$_{111}$=6.06 Å, for simplicity (the (001)/(100)
perovskite planar distance is 3.9 Å). A few grains with planar
distances, \( d \), higher than those of the perovskite structure
were found, though \( d \) values did not correspond to those of
the \( \text{Pb}_2\text{Ti}_2\text{O}_6 \) structure. These grains showed a Pb
deficiency significantly higher than the average one as it is illustrated in
Figure 2.

The GIXRD pattern of the film treated for 12 hours is
shown in Figure 3a. The pyrochlore structure diffraction
peaks have disappear. A TEM image of a plan view specimen
prepared from this film is shown in Figure 3b. The micros-
tructure is very similar to that shown by the former film,
except for the presence of some textured grain clusters, which
seems to indicate the occurrence of a limited abnormal grain
growth. This is well illustrated by the selected area electron
diffraction (SAED) pattern of the cluster marked in Figure 3b,
shown in Figure 3c. The spot striking indicates a slight misa-
lignment of the grains in the cluster. The grain by grain micro-
diffraction search for the \( d=6.06 \) Å planar distance was also
accomplished in this film. As in the film treated for only 12
minutes, a few grains with planar distances higher than the
perovskite ones were found, and neither did they correspond
to the pyrochlore structure. Microanalysis showed that these
grains were also more Pb deficient than the film.

Figure 2. (a) EDXS spectrum for a large area (many grains) of a plan
view specimen from the PTL film treated for 12 minutes, and (b)
spectrum of a grain (25-40 nm beam size) with planar distances that
are neither consistent with the perovskite structure nor with the
pyrochlore structure, in the same film.

Figure 3. (a) GIXRD pattern for the PTL film treated for 12 hours,
(b) TEM image, (c) SAED pattern of the cluster of grains marked
with an arrow in (b), for a plan view specimen from this film.
TEM images of cross section specimen from the two films are shown in Figure 4. There are no microstructural gradients in any of the two. SAED patterns are also shown in the Figure. The perovskite rings are not so well defined as in the LAED of Figure 1c, which is just due to the smaller number of perovskite grains in the area selection. The patterns also showed (222) pyrochlore spots. The thickness distribution of the pyrochlore phase was roughly investigated by moving the area selection, but all SAEDs showed (222) spots. Some limited grain and pore growth with the duration of the treatment is apparent from the images.

The presence of the highly Pb deficient grains located by microdiffraction, which do not seem to be the intermediate phase observed by GIXRD, seems to support the idea that the perovskite resulting from the transformation has a Pb/Ti ratio closer than 0.8 to the nominal 0.88 value. Unfortunately, this investigation failed to find the pyrochlore grains that were transforming into perovskite, and generated the XRD diffraction peaks and ED spots. They are morphologically identical to the perovskite one, and the sensitivity of microdiffraction, significantly worse than that of XRD or SAED, is not high enough to distinguish the pyrochlore microdiffraction spots from the perovskite ones. The phase is in the film as it appears in SAED, and these experiments suggested it was distributed homogeneously across the film. Therefore, the substrate/layer interface does not seem to play any special role in the perovskite nucleation process.

4. CONCLUSIONS

There are no morphological differences between the pyrochlore phase and the perovskite phase in which it is being transformed into during the rapid heating of sol-gel lanthanum modified lead titanate ferroelectric thin films on Pt/TiO$_2$/Si substrates. This phase can be detected by standard electron diffraction, though it is hardly impossible to distinguish it from the perovskite by microdiffraction. A few highly Pb deficient, i.e. with a Pb deficiency higher than the average one, grains with planar distances that were neither consistent with the perovskite structure nor with the pyrochlore one were found in the films by microdiffraction. Its presence is an indirect indication that the perovskite formed during the transformation has a Pb/Ti ratio equal or close to the nominal ratio. Neither microstructural nor structural in depth gradients were found in cross section TEM specimens, which indicates that the transformation does not start at the substrate/layer interface, but all across the film. A limited grain and pore growth, as well as growth of local texturing occur along with the phase transformation.

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